

CONTROLLED USE OF DEVICES

1. BACKGROUND OF THE INVENTION

1.1 AREA OF THE INVENTION

The present invention relates to method and system for controlling the use of devices which are controlled by an electric or an electronic circuit. In particular, the present invention relates to such method and system for selectively controlling specific types of operation of such devices.

1.2 DISADVANTAGES OF PRIOR ART

The above mentioned term 'device' should be understood in context with the inventive concepts presented in here as very broad and general. Basically, a broad variety of devices can be subjected to the method of the present invention and their use or their operation can be selectively controlled by it.

In general, many electronically controllable devices are provided with an on/off switch in order to enable the device for operation. If the use of a device is sensible for questions of data security or access, in general, the device is protected with an access control system which a person intending to use the device has to pass prior using it.

For example, a prior art method and system for controlling the personal use of a device can be a TV device being connected to a Pay-TV channel access system via a set-top box. The Set-top box acts as an access control system and provides card reader unit

1 for authenticating any registered user. After being identified a  
2 user can freely access the Pay-TV channels.

3 A disadvantage of this kind of control can be seen in the fact,  
4 that the use of the device is either admitted - in total - or it  
5 is restricted in total. There are not provided any possibilities  
6 of defining selected types of operation and specifying individual  
7 constraints of usage for a specific device. Such sophisticated  
8 access control logic would require intelligent back-end systems  
9 with some sort of a user management system. The possibility to  
10 allow a selected type of operation and certain constraints of  
11 usage, however, is desirable for devices in many cases -even in  
12 such cases in which a device is not provided with any access  
13 control system and no user management infrastructure at all. For  
14 example, a common TV device can only be locked into a cupboard,  
15 so the access to the TV is prevented generally, when the cupboard  
16 is locked, and such desired selective type of device operation is  
17 only possible with an adult person surveying the children's  
18 TV-channel selection.

19 In this case, however, the use of the TV device would be desired  
20 for children only during predetermined intervals of time, at  
21 specific days and on specific channels, in addition only a  
22 specific total time of watching television in a week could be  
23 desirable.

24 Different devices have always different procedures of controlling  
25 their operation. In case of a car sharing company it is difficult  
26 to control the duration of time in which the car is used by a  
27 client. As the duration of such use can be considered as a basic  
28 requirement for the client's bill an exact determination of said  
29 duration of device operation would be desirable.

### 1.3 OBJECTS OF THE PRESENT INVENTION

It is thus a general aim of the present invention to provide a method and system for controlling the personal use of devices the operation of which is controllable by an electronic control circuit in which the method allows some kind of user-related and/or user-initiated selection of different types of operation of the respective device whenever more than one type of operation is provided for the device or makes sense with the device.

It is a further object of the present invention to provide such decentralized method and system such that using the method or system will be comfortable for the user and universally to apply for a plurality of devices of the same type without needing to establish a sophisticated user management infrastructure.

### 2. SUMMARY AND ADVANTAGES OF THE PRESENT INVENTION

According to the invention a method and system for selectively controlling the operation of the device is provided which uses some kind of personal authentication token, e.g., a JAVACard connected to said device in order to control a particular type of use or the duration of use of the device, and - if desired - in order to interrupt the operation of the device or to delimit the operation when some kind of reason can be evaluated from some operation type specific or some user-related data stored in said card which might justify said decision.

According to a preferred aspect of the present invention said token or, generally spoken, an authentication means is advantageously a Smartcard and in particular a Java Card, or any

1 other multi function smart card with the capability of  
2 downloading and executing software programs on the card, on which  
3 personal authentication data as well as some kind of account data  
4 are stored. Said account can be a time account in which the  
5 duration of the personal use and/or operation of the device is  
6 maintained and regularly compared to some predetermined time  
7 limits admitted to the user.

8 A large variety of further criteria storable on the card emerge  
9 dependent of the type of the device. In case of a TV device the  
10 Smartcard advantageously holds program data which specify date  
11 and time during which a child for example is allowed to watch the  
12 program of some predefined TV channels. Alternatively, some film  
13 rating data can be stored on the card and can be subjected to an  
14 evaluation which uses amongst others further data as, for  
15 example, the age of the child the Smartcard is associated with in  
16 order to provide a result if a user-selected, e.g. child-selected  
17 type of operation will be admitted to the child or not. In  
18 addition to that an identifier for a specific TV show could be  
19 stored, which the user is permitted to watch. Many further  
20 limitations of use are obvious such as, for example, the  
21 limitation of the duration of use.

22 According to a further preferred aspect of the present invention  
23 the logic which evaluates the above mentioned data is located at  
24 least partly on the Smartcard and provides a device operation  
25 control logic with a signal which is able to filter some admitted  
26 types of operation - if desired. An advantage of this solution is  
27 that one Smartcard can be used for a plurality of different  
28 devices holding the hardware extensions required for the devices  
29 on a minimum level. Thus, the overall costs for the system  
30 consisting of Smartcards and a plurality of devices is held low.

1 According to a further preferred aspect of the present invention  
2 the Smartcards belonging to the users are programmable by a  
3 Master-SmardCard. Dependent of the type of device any criteria  
4 can be stored on the Smartcards subordinated to said  
5 Master-SmartCard by aid of a program which can only be invoked  
6 when a user invokes it who has run successfully an authentication  
7 procedure which acknowledges his status as a superuser.

8 For the sake of improved security further security mechanisms may  
9 be provided with this solution as, for example, input and control  
10 of a superuser-ID and an associated password, as it is known in  
11 prior art.

12 The preferred location of said program depends on the type of the  
13 device and depends further on the complexity of operation  
14 control. It can be located on the devices, programmed in a ROM  
15 memory as a part of an electronic circuit connectable with the  
16 Smartcard reader associated to the device.

17 It is obvious that for example for the sake of economy of the  
18 total control system the composition of the arrangement - i.e.,  
19 the plurality of controllable devices, the plurality of  
20 subordinated Smartcards, the plurality of Master-Smartcards, the  
21 spatial or geographic distance between the devices, the business  
22 value of the devices, the costs for equipping already existing  
23 devices with the hardware and software system according to the  
24 present invention, etc. have to be considered carefully in order  
25 to implement an individual 'best-fit' solution.

26 Further, the control logic can be distributed on more than one  
27 location, i.e. a part of it can be located on the Smartcard,

1 another part can be located for example as a hardware  
2 implementation of a piece of software in the device itself  
3 according to the above mentioned criteria.

4 A general advantage of the present invention's concepts is that  
5 the control system is very flexible such that the use of a device  
6 can be individually controlled according to a plurality of device  
7 specific criteria. When all data which is necessary to control  
8 the operation of one or a plurality of devices are stored on the  
9 user-associated Smartcard it is sufficient to provide each user  
10 with one Smartcard only, instead of a variety of cards for  
11 respective devices. Then, only one Smartcard per user is  
12 applicable and insertable into Smartcard readers corresponding to  
13 a respective plurality of devices without being obliged to  
14 transfer personal, operation-related data from one device to the  
15 next. In other words, in a family where are three TV devices one  
16 child needs only one Smartcard and not one Smartcard for each TV  
17 device. The total duration of watching TV which was limited  
18 before for the child is stored and updated according to the  
19 child's TV consumption in the memory of the child's card -  
20 independent of the number of TV devices used by the child.

21 Further, when the logic is concentrated on the Smartcard, any  
22 changes related to the hardware of the device do not influence  
23 the operation of the control system. And finally, when the logic  
24 of the criteria is changed the devices need not to be changed in  
25 the most cases.

### 26 3. BRIEF DESCRIPTION OF THE DRAWINGS

1 The present invention is illustrated by way of example and is not  
2 limited by the shape of the figures of the accompanying drawings  
3 in which:

4 Fig. 1 shows an example schematic block diagram comprising the  
5 most relevant components of a preferred embodiment of the present  
6 invention in form of a TV device the operation of which is  
7 controlled according to the present invention's method, and

8 Fig. 2 is a flow chart showing the basic steps and decisions of a  
9 preferred embodiment of the method of the present invention when  
10 a user wants to watch TV with reference to the system depicted in  
11 Fig. 1.

#### 12 4. DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

13 With reference to Fig. 1 an example arrangement according to the  
14 present invention is shown with which the inventive method can be  
15 performed in order to control the personal use of a TV device. A  
16 TV device 10 is connected with and controlled by an electronic  
17 control circuit 12 incorporated in its casing. The electronic  
18 circuit 12 is able to control the operation of the TV device such  
19 that it can switch it on or off in order to let the user watch  
20 the current programs available.

21 According to the present invention the electronic control circuit  
22 12 is connected to a Smartcard reader 14 which can read and  
23 process data and commands provided from a Smartcard 16 inserted  
24 therein. Said Smartcard is assumed to belong to a certain user  
25 who is a child of eleven years living in a family and a household  
26 having a plurality of three TV devices in total. According to the

1 principles of the present invention it is some aim of it to  
2 control the personal use of devices, such as the TV device  
3 depicted in Fig. 1. In order to be able to exhibit this function  
4 said Smartcard 16 has stored in its memory a user ID associated  
5 with the child and a list of TV emissions which the parents allow  
6 to be watched by the child. Further, there is stored a time  
7 account which reflects the total duration of time in which the  
8 child watches TV during a predetermined time interval, e.g., one  
9 week. For example, the child is allowed to watch TV for a total  
10 duration of 180 minutes per week. Further, there is stored in the  
11 memory of the Smartcard some additional data which serves as  
12 additional criteria in order to restrict the child from watching  
13 TV late in the evening, for example to prevent the child from  
14 watching some films showing too much of violence, sex, crime,  
15 etc.

16 Further, the Smartcard is provided with an integrated  
17 microprocessor and advantageously with some Smartcard  
18 application, so-called applets, in order to process the data  
19 stored in its memory and to compare them with data read from the  
20 TV device. This, however is described in more detail with  
21 reference to Fig. 2 later below.

22 With reference back to the electronic control circuit 12  
23 connected to the TV device 10, said circuit is connected to a  
24 filter 22 which is interconnected between the antenna or video  
25 recorder, in general, between program source and the input port  
26 for the TV signal.

27 The electronic control circuit 12 can be incorporated into the  
28 casing of the TV device, as well as the filter 22. Alternatively,



1 one of them, or both can be put into a small box to be connected  
2 with the TV and a Smartcard reader unit.

3 Alternatively, the Smartcard Reader 14 and the control circuit 12  
4 and the filter 22 can be incorporated in the TV device, as well  
5 as assembled in said box connectable with the TV device. Or, the  
6 Smartcard reader 14 could be excluded from the arrangement 24  
7 such as being external to it for improve flexibility. This is  
8 depicted in Fig. 1 with the arrangement 24 surrounded by broken  
9 lines. The communication between arrangement 24 and the Smartcard  
10 reader 14, or connector for any other secure token, could be  
11 secured by the use of encryption for the data transmitted as well  
12 as by sealing the physical connectors.

13 In any of the above mentioned variations some connection between  
14 the remote control unit associated with the TV device will be  
15 advantageously provided in order to let the user comfortably  
16 select the channel, etc. by aid of the remote control unit.

17 In order to do this, the box is provided with an infrared sensor  
18 and a respective signal receiving unit in order to receive the  
19 control signal from the remote control unit and pass it to the  
20 electronic control circuit for processing which is described in  
21 more detail later below with reference to steps 170, 180,... of  
22 Fig. 2.

23 Alternatively, the signal from the remote control unit can be  
24 received by the sensor circuit incorporated in the TV. Then,  
25 however, at most the channel-select signal is fed from said  
26 circuit to the electronic control device external to the TV for  
27 performing the same evaluation as stated above.

1 Advantageously, any electrical connection between said external  
2 arrangement 24 and the TV device as well as the Smartcard reader  
3 can be sealed in order make any interruption or switch off of the  
4 arrangement 24 visible to the parents of the child.

5 With additional reference now to **Fig. 2** a preferred embodiment of  
6 the inventive method will be described in more detail which will  
7 illustrate as well the operation of the inventive arrangement  
8 depicted in Fig. 1.

9 The eleven year old child wants to start watching TV. Thus he  
10 inserts his personal Smartcard 16 into the Smartcard reader 14 in  
11 a step 110. In this particular embodiment the on/off switch of  
12 either the TV device itself or that of the remote control can be  
13 operated only when a Smartcard is inserted into said Smartcard  
14 reader. Thus, an activation signal is fed from an operation  
15 control logic circuit 28 placed on the Smartcard, in order to  
16 activate the electronic control circuit 12 for preparing the  
17 begin of operation of the TV device. Said activation signal is  
18 fed via line 30.

19 Activation is further confirmed to said operation control logic  
20 28 via a line 32 connected between electronic control circuit 12  
21 and Smartcard reader 14 as well. This is depicted in Fig. 1.

22 Next, in a step 120 some current operation specific data, further  
23 referred to as SOD is read from the device into the control logic  
24 28 in order to be processed by said logic. In this particular  
25 case said SOD comprises current date and current time. Further,  
26 it comprises a flag, indicating if a video signal from a video  
27 recorder possibly connected with the TV device is present on its  
28 signal input line.

1 Next, in a step 130, so called 'user-specific data' further  
2 referred to as US are read from the Smartcard memory 34 in order  
3 to identify the person intending to watch TV. At least, said US  
4 comprises a unique user-ID, at least unique in the family. Thus,  
5 the control logic 28 recognizes, that the eleven year old child  
6 wants to start watching TV.

7 In order to perform the selectively controlled use of the TV  
8 device said logic 28 pre-evaluates in a step 140 US and SOD  
9 according to some preprogrammed data and with the help of some  
10 applet stored in the memory 34 of the Smartcard. In particular,  
11 during said pre-evaluation it is checked for a decision 150 if  
12 there is time enough resting on the time account of the card, and  
13 the current time of day is checked as well. If it is too late in  
14 the evening or during the night, or if there is no more time left  
15 in the time account, the card is ejected - step 160 - from the  
16 slot and watching TV is prohibited. If yes, the child is  
17 basically allowed to watch TV and can select a TV-channel -step  
18 170 described in more detail below. A more specific and selective  
19 evaluation of the user's choice will be performed after said  
20 selection of TV input, i.e., the TV channel number or the  
21 actuation of a switch for input of a video signal from a  
22 connected video recorder.

23 Said preprogrammed data was programmed before by the child's  
24 parents, e.g., using a mastercard provided with a secret key only  
25 known to the parents in order to provide the child's Smartcard  
26 with data describing and determining TV emissions which he is  
27 allowed to watch. In particular, advantageously a TV channel  
28 number and associated emission time, or, alternatively, the show  
29 view code of emissions can be stored on the card, and it can be

1 specified if watching it shall be allowed to the child or not. If  
2 the evaluation yields that there is an emission which the child  
3 is allowed to watch, in a step 150 a corresponding  
4 'device-enable' signal is fed from the control logic 28 into the  
5 electronic control circuit 12 in order to prepare the TV device  
6 for operation.

7 With reference to step 170 a user action is expected. The user  
8 action can for example be pushing a respective channel-select  
9 button located on the remote control of the TV device, or,  
10 alternatively, pressing the corresponding button on the device's  
11 operation console. Further, said user action can be pressing the  
12 button which selects a video recorder connected to the TV device.  
13 Any of them is understood for the purposes of the present  
14 invention's disclosure as a 'User-Desired Operation Item',  
15 further referred to as UDOI. It is assumed now that the user  
16 presses the channel-select button 2 on the remote control. Thus,  
17 a UDOI is defined. Further, it shall be assumed that the current  
18 time is 3.30 p.m.

19 The electronic control circuit 12 feeds back the user's choice to  
20 the operation control logic 28 placed on the Smartcard 16 via  
21 line 32 and said signal is read in a step 170 by said control  
22 logic. An algorithm preprogrammed in said operation control logic  
23 28 compares the user choice with the list of admitted operation  
24 items which were in turn preprogrammed before by the parents of  
25 the child. In practice, such an evaluation 170 can be performed  
26 by a plurality of 'IF'-statements which are checked subsequently.  
27

28 Then, in a decision 180 a YES-or RELEASE-signal is output when  
29 there is found a film inside the allowed film list and the

1 selected UDOI is released, the film can be watched as depicted in  
2 step 190. Or, in the no-branch of decision 180 the desired  
3 operation item (UDOI) of the TV device is restricted -step 200,  
4 if no pair of channel-number and current time fits to the allowed  
5 list data stored on the child's Smartcard.

6 Then, the system is ready for further user inputs, for example in  
7 order to change the program channel or to switch off the TV  
8 device.

9 When the child watches TV the time account stored on the  
10 Smartcard is updated regularly in periodic intervals of time, as  
11 e.g. every one minute. To do this, the output of a timer device  
12 not depicted in Fig. 1 is regularly read by the operation control  
13 logic 28 via line 30, and the time data is fed via line 32 to the  
14 logic 28, which in turn accumulates the time spent for watching  
15 TV on the time account of the child.

16 If, for example, the maximum time which the child was admitted to  
17 watch TV has exceeded, the operation of the TV will be broken.  
18 Some warnings can be put out via the screen of the TV device at  
19 regular intervals in order to prepare the child and to inform it  
20 before the operation is switched off.

21 It is obvious that the inventive concepts are very broadly  
22 applicable. The devices mentioned in the text of the present  
23 application can be any device having an electronic or electric  
24 control circuit which switches the operation on or off, or,  
25 depending of the type of the device, which enables a specific,  
26 selective type of operation of that device. Examples of specific  
27 operation types or operation items are:

1 selecting a particular channel-number for watching TV, selecting  
2 a video recorder for feeding the input-signal for the TV.  
3 Further, when the device is a computer said selected type of  
4 operation can advantageously be the invocation of one of a subset  
5 of programs installed on the hard-disk of the computer itself or  
6 in the network to which a computer is connected with.

7 When the device is a car belonging to a car sharing company the  
8 duration of use can be easily controlled by the company. In this  
9 example, however, there is generally only one type of operation  
10 of the car, i.e. to go with the car with a running motor. In this  
11 example however, an extension can be included when the car is  
12 coupled to the GPS-system which enables the car sharing company  
13 to control the position of the car and, eventually the direction  
14 in which it is moved on a large scale. Then, a second type of  
15 operation could be established, namely, not to enter into a  
16 predetermined geographical region, or, to move the car only in  
17 predetermined large scale-directions. The same applies of course  
18 for companies which are renting cars to people.

19 The inventive method can be advantageously applied even for  
20 allowing selective use of operation of a plurality of computers.  
21 This can be required in a company having many employees and a  
22 large number of desktop computers and notebooks forming a part of  
23 the company's network, when the employees have often to change  
24 their desks and are constraint to make their job with the help of  
25 different computers, i.e., they use not a personal computer, but  
26 an 'unpersonalized' form by that kind of rotation of working  
27 sites. In this exemplary situation, the access to the computer's  
28 programs or local disk areas can be selectively allowed, or  
29 restricted, respectively, while the data for doing said are  
30 stored on the card only and not in the computer.

1 The present invention can be realized in hardware, software, or a  
2 combination of hardware and software. A typical combination of  
3 hardware and software could be a Smartcard with an applet or any  
4 other software running on the Smartcard in more or less close  
5 interaction with a piece of software implemented in the device or  
6 associated to it, that, when being executed, controls the  
7 Smartcard such that it carries out the methods described herein.  
8 The present invention can also be embedded in a computer program  
9 product, which comprises all the features enabling the  
10 implementation of the methods described herein to SmartCards in  
11 order to upgrade them in order to carry out the inventive method  
12 as a whole or partly. Computer program means or computer program  
13 in the present context mean any expression, in any language, code  
14 or notation, of a set of instructions intended to cause a system  
15 having an information processing capability to perform a  
16 particular function either directly or after either or both of  
17 the following a) conversion to another language, code or  
18 notation; b) reproduction in a different material form.

19 In the foregoing specification the invention has been described  
20 with reference to a specific exemplary embodiment thereof. It  
21 will, however, be evident that various modifications and changes  
22 may be made thereto without departing from the broader spirit and  
23 scope of the invention as set forth in the appended claims. The  
24 specification and drawings are accordingly to be regarded as  
25 illustrative rather than in a restrictive sense.

26 In particular, the control logic required to perform the  
27 selective operation types of the device can be located in the  
28 Smartcard - or it can be distributed between Smartcard and the

1 device, or, if suited, it can be combined with any logic being  
2 installed on the interface means, as e.g., the Smartcard reader.

3 Further, the inventive concepts are obviously extendible with  
4 mechanisms in order to update the user's authentication means as  
5 e.g. a Smartcard with new data - e.g. for refreshing the time  
6 account, or for automatically load new show-view Codes for  
7 admitted emissions.

8 Further, account data can comprise cash data, too. Cash data can  
9 be updated by any mechanism suited, as e.g. in cooperation with a  
10 banking software, a cash dispenser, etc., or in direct contact  
11 with the owner of the devices to be controlled. Thus, it is  
12 possible to allow a selective use of the device only when the  
13 user has paid for it.

14 It is obvious that many scenarios exist in which combinations of  
15 one or more of the before mentioned aspects combine in order to  
16 provide a best-fit solution of the inventive concepts. It is  
17 thus noted that the foregoing has outlined some of the more  
18 pertinent objects and embodiments of the present invention. This  
19 invention may be used for many applications. Thus, although the  
20 description is made for particular arrangements and methods, the  
21 intent and concept of the invention is suitable and applicable to  
22 other arrangements and applications. It will be clear to those  
23 skilled in the art that other modifications to the disclosed  
24 embodiments can be effected without departing from the spirit and  
25 scope of the invention. The described embodiments ought to be  
26 construed to be merely illustrative of some of the more prominent  
27 features and applications of the invention. Other beneficial  
28 results can be realized by applying the disclosed invention in a



1 different manner or modifying the invention in ways known to  
2 those familiar with the art.

3 The objects of the invention are achieved by the features stated  
4 in enclosed independent claims. Further advantageous arrangements  
5 and embodiments of the invention are set forth in the respective  
6 dependent claims.

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